

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

NIK-0001

In Re Application Of: Michael Lyons

Serial No.

10/628,937

Filing Date

07/28/2003

Examiner

Group Art Unit

Title: **PROCESS, APPARATUS, AND KIT FOR ASSEMBLING AND DISASSEMBLING A CRYOGENIC PUMP**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

NIK-0001

In Re Application of Michael W. Lyons

Serial No.

10/628,937

Filing Date

07/28/2003

Examiner

Group Art Unit

**PROCESS, APPARATUS, AND KIT FOR ASSEMBLING AND DISASSEMBLING A CRYOGENIC****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 4/20/2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Rebecca L. Blake

Signature of Person Mailing Correspondence

Rebecca L. Blake

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: April 20, 2004

Peter R. Hagerty

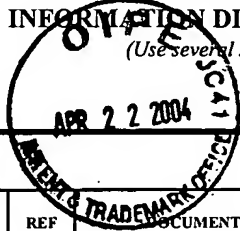
Registration No. 42,618

Customer No. 23413

Telephone No. 860-286-2929

CC:

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)



Docket Number (Optional) <b>NIK-0001</b>		Application Number <b>10/628,937</b>
Applicant(s) <b>Michael W. Lyons</b>		
Filing Date <b>7/28/2003</b>	Group Art Unit	

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,745,761	5/24/1988	Bazaj et al.			
		3,894,403	7/15/1975	Longworth			
		4,726,108	2/23/1988	Poloni			

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 94/00212	06.01.94	PCT				✓

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

		International Search Report; International Application No. PCT/ IB 03/03794; International Filing Date 28/07/2003; Date of Mailing; 1/21/2004

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.